



Infrastructure
and Projects
Authority

Marco Institucional del Reino Unido para el desarrollo de Infraestructura

Javier Encinas
Director de Proyectos, Unidad Internacional
Infrastructure and Projects Authority, HM Treasury and Cabinet Office

Marzo 2017

Agenda

- **Marco Institucional de infraestructura y PPP en el Reino Unido**
 - Marco Institucional
 - Rol y estructura de IPA
 - Lecciones aprendidas sobre los roles del Gobierno

Lecciones: 4 marcos importantes en los PPPs

Marco Político/Regulatorio (Policy)

- Apoyo Político
- Políticas Públicas
- Programa de inversión en infraestructura

Marco Institucional (Public Sector Capacity)

- Estructura institucional
- Capacidad Técnica (interna y asesores externos) del sector público

Marco Industrial / Asociativo (Private Sector Capacity)

- Existencia de potenciales socios del sector privado (local e internacional)
- Apetito y capacidad del mercado financiero

Marco de Procesal (Processes)

- Procesos claros
- Guías y metodologías prácticas
- Contratos y documentación de proyectos estándares

UK PPP / PFI / PF2 Institutional Framework

IPA – Unidad APP Central
Planeación, diseño pol. publicas,
apoyo a la estructuración y
aprobación

**HM Treasury / Cabinet Office
(Infrastructure and Projects Authority)**

LP – Unidad APP Municipal
Apoyo a la estructuración de
proyectos municipales y evaluación

Local Partnerships

PFU – Unidad sectorial
Apoyo en aspectos específicos al
sector y evaluación

**Ministerio de Salud
(Private Finance Unit)**

**Ministerio de
Educación
(Private Finance Unit)**

**Ministerio de
Transporte
(Private Finance Unit)**

Autoridades licitantes
identificación, selección,
preparación, licitación,
adjudicacion y monitoreo

Autoridad Licitante
**(a nivel nacional,
regional o municipal)**

NAO
Auditoria y comparacion transversal
de proyectos (ex post)

National Audit Office

Unidades regionales
Apoyo a Gales, Escocia e Irlanda

**Unidad
País de Gales**

**Unidad
Escocia**

**Unidad
Irlanda**

Evolución del Marco Institucional en el Reino Unido

	2000-2010	2010 – 2016	2016 - ?
Differences	 PUK	 IUK	 IPA
Structure	JV 51% priv / 49% pub	100% HMT owned unit	JV 50% HMT / 50% CO
Location	outside Gov.	HMT	HMT
Operating model	charging model	free at the point of use	free at the point of use
Scope	PPPs	Infrastructure	Infra and Major Projects
Role	Delivery	Policy, Planning, Delivery, & Financing	Policy, Planning, Delivery, Finance & Assurance
Similarities	PUK	IUK	IPA
Mission	Public	Public	Public
Staff	Public-Private	Public-Private	Public-Private
Employees	70	80+	160
Skills	100% commercial	70% comm. - 30% policy	60 comm. / 40% policy
Contractual terms	Sim. To Private Sector	Comm. Specialist Grade	Comm. Specialist Grade



Infrastructure and Projects Authority

Infrastructure and Projects Authority

Centre of expertise for infrastructure and major projects

Our purpose

To continuously improve the way infrastructure and major projects are delivered in order to deliver government priorities and improve people's lives. To create the best performing project system of any government in the world.

Our priorities

Setting up projects for success



Creating market confidence



Building delivery capability



Measuring and improving performance



Our work

We work on the overall project delivery system; the projects, people and processes that together create the right environment for successful delivery. We measure how the system is performing.



Our teams

Finance & International

Operations

Project Profession & Standards

Strategy & Policy

Infrastructure Delivery

Our principles

Expertise-based



Performance-focussed



Valued by stakeholders



One team



Home of the Project Delivery function



Infrastructure and Projects Authority

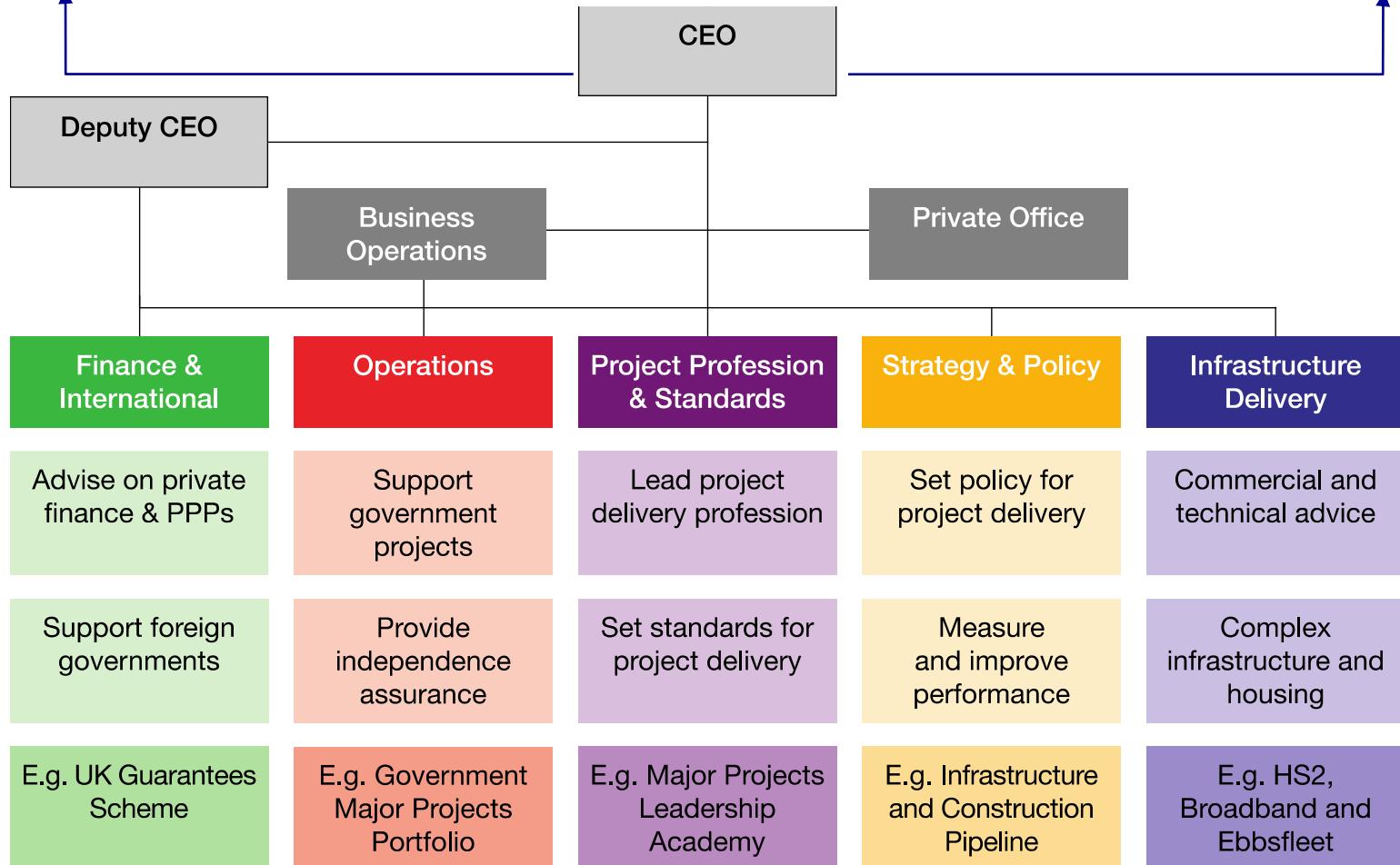


HM Treasury

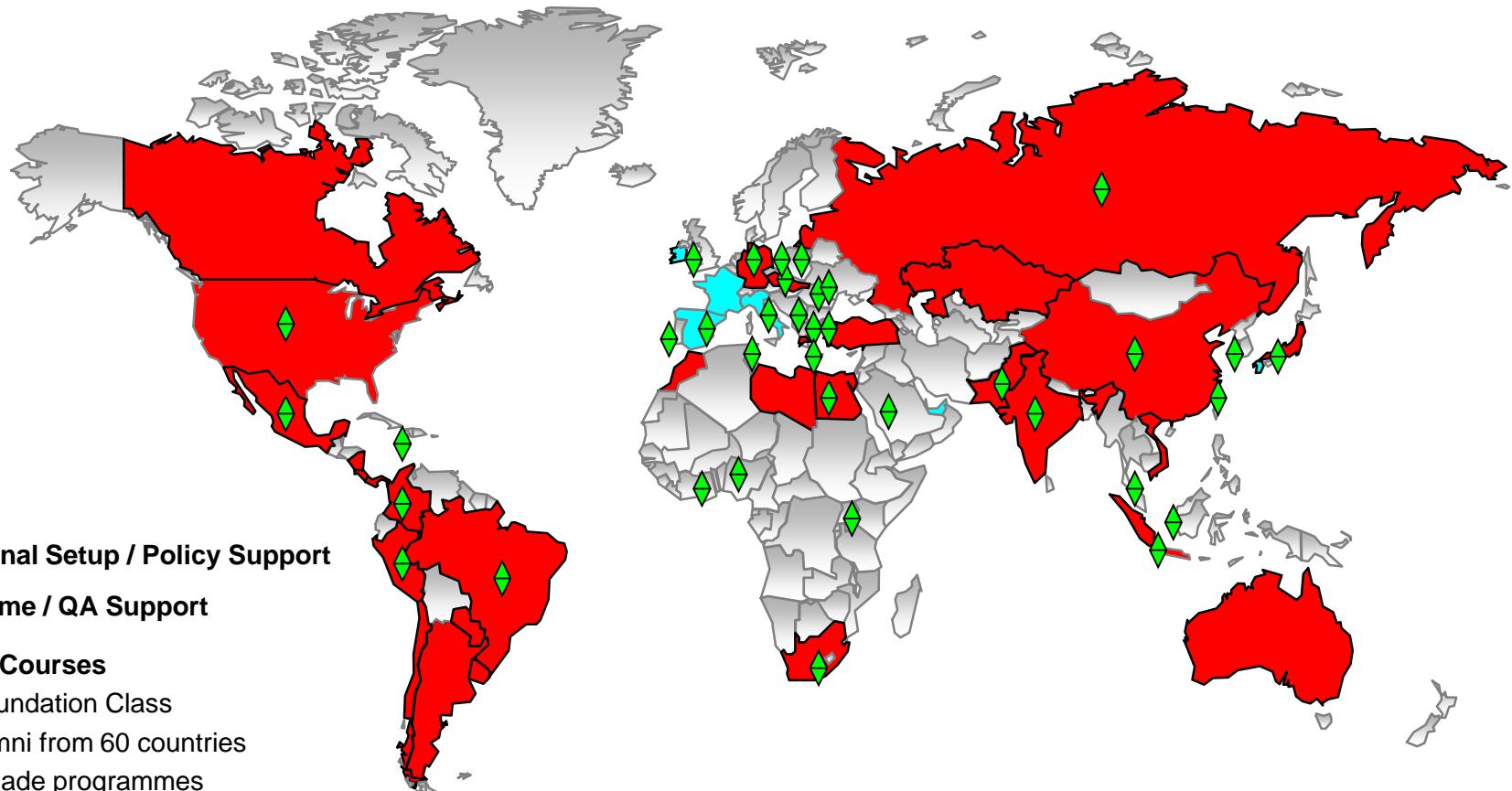


Cabinet Office

Organisational Structure



IPA - Actividades Internacionales



█ **Institutional Setup / Policy Support**

█ **Programme / QA Support**

◆ **Training Courses**

- PPP Foundation Class

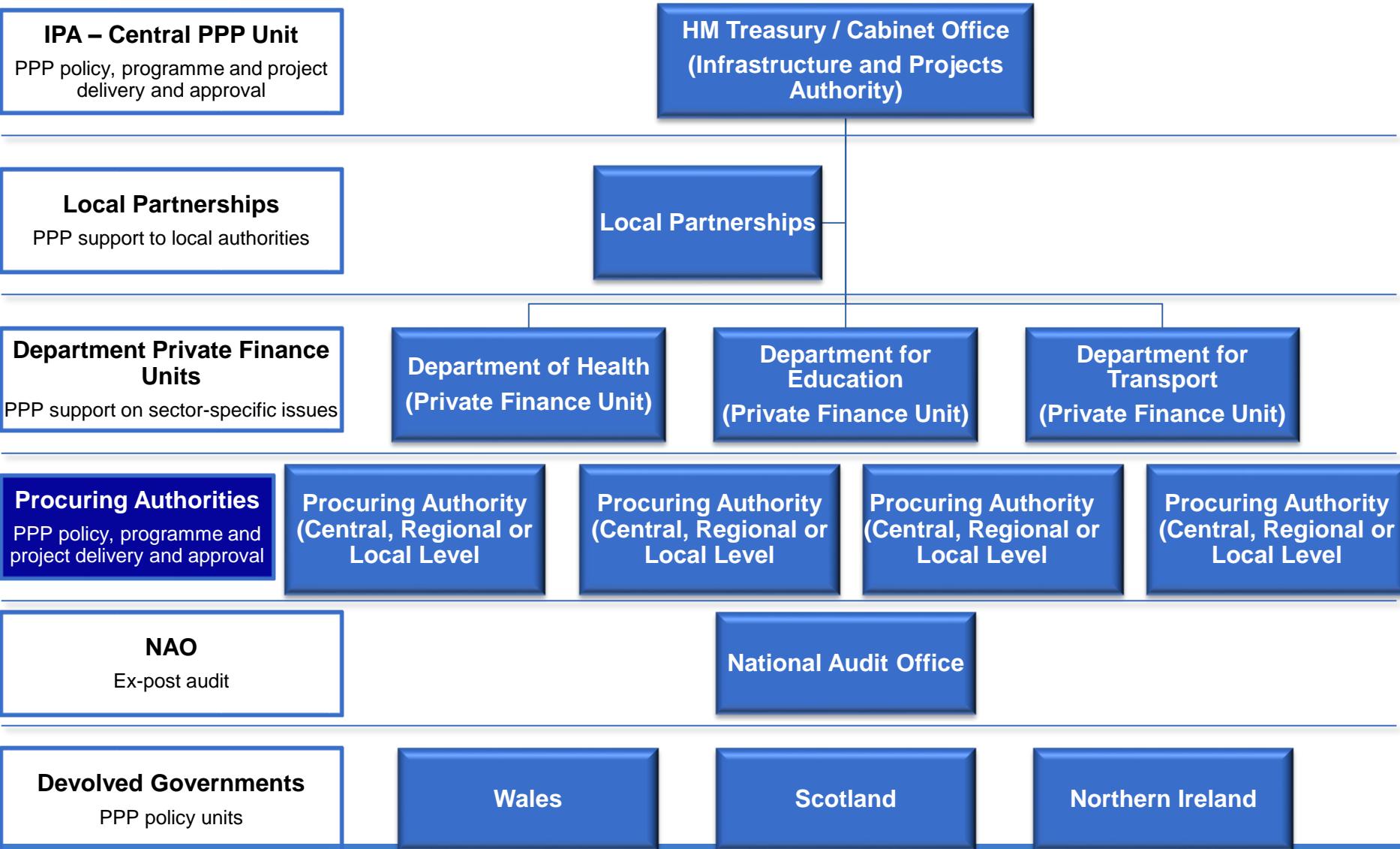
- 600 alumni from 60 countries

- Tailor-made programmes

█ **Support to UK Government
Departments (FCO UKTI/DFID) /
dialogue with PPP units**

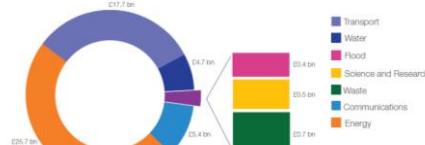
Lecciones sobre los roles de un Gobierno en el diseño de un programa de infraestructura con Participación-Público-Privada

Architect: Designing the country's institutional framework



Planner: Planning, prioritising and delivering the country's long term investment in infrastructure programmes, projects and pipelines

Chart ES.1: 2014 infrastructure pipeline, by sector, spend in 2015-16

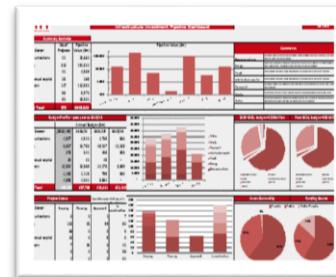


Source: HM Treasury, Major Infrastructure Tracking Unit
Note: Spend on energy in 2015-16 includes oil and gas of £12 billion

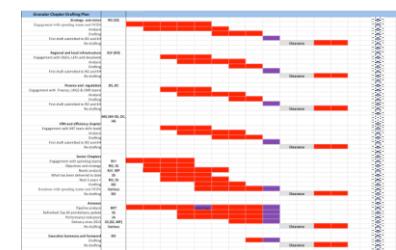
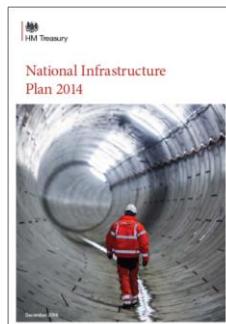
Planning and prioritisation of capital investment
& Development of sector pipelines



Promotion and attraction of foreign investment

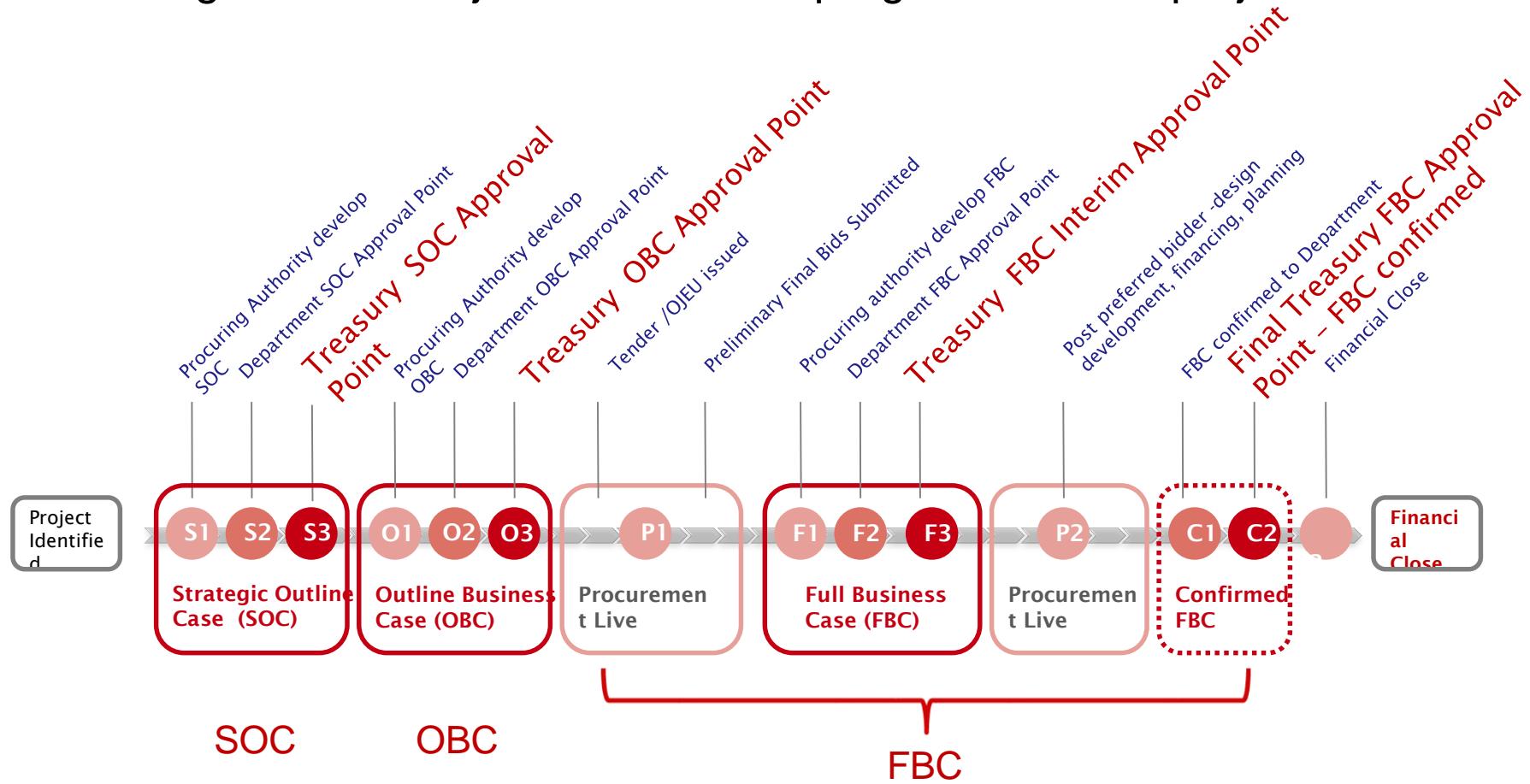


Tracking and monitoring of programmes and projects



Development of Delivery plans to 2020

Advisor and Critical Friend: accompanying the contracting authorities during the preparation, evaluation, approval, procurement and management of major infrastructure programmes and projects



Lecciones: 4 marcos importantes en los PPPs

Marco Político/Regulatorio (Policy)

- Apoyo Político
- Políticas Públicas
- Programa de inversión en infraestructura

Marco Institucional (Public Sector Capacity)

- Estructura institucional
- Capacidad Técnica (interna y asesores externos) del sector público

Marco Industrial / Asociativo (Private Sector Capacity)

- Existencia de potenciales socios del sector privado (local e internacional)
- Apetito y capacidad del mercado financiero

Marco de Procesal (Processes)

- Procesos claros
- Guías y metodologías prácticas
- Contratos y documentación de proyectos estándares



Javier.Encinas@ipa.gov.uk

